



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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VINCENT JOHN FIORENTINO,

Petitioner,

-- against --

UBS FINANCIAL SERVICES, INC.,

Respondent.
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Case No. 1:22-cv-2795

PROPOSED ORDER CONFIRMING THE ARBITRATION AWARD

The Court having reviewed the Petition to Confirm the Arbitration Award and accompanying documents (ECF No. 1);

AND having conducted a telephone conference with the parties on May 4, 2022, resulting in the Order to filing a Joint Stipulation and Proposed Order (ECF No. 6);

AND having considered the Joint Stipulation signed on May 6, 2022, by counsel for both Petitioner Vincent John Fiorentino and Respondent UBS Financial Services, Inc. (ECF No. 7);

AND having considered all arguments presented and for good cause shown;

IT IS HEREBY ORDERED that:

1. The Arbitration Award issued in FINRA Dispute Resolution No. 20-03189, dated February 17, 2022, in favor of the Petitioner, made by FINRA Arbitrator Richard W. Cutler (ECF No. 1-2), be **Confirmed**.

2. FINRA shall execute the Arbitrator's expungement directive removing all references to Occurrence Number 2064770 from registration records maintained by the Central Registration Depository for Mr. Fiorentino (CRD Number 1557805).

Dated: May 6, 2022

A handwritten signature in blue ink, appearing to read "Att Cl an", positioned above a horizontal line.

STEWART D. AARON
United States Magistrate Judge